

# PORTMAN

## Release Notes

**Version 2012.02**

**Release Date: 1/5/2012**

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### SCREEN CHANGES

#### Main Menu screen

- Added options to print preview the pre-post reports.

#### Edit Security screen

- Incorporated menu options found on the Main Menu, to simplify navigation.
- Added un-posted transaction flag.
- Changed button locations to better organize new items
- Added the ability to "Add a new Lot" of the security currently selected(Hi-lighted).

#### Print Menu

- Corrected error which prevented the Excel export path from displaying when the form opens.

#### BOND screen

- Updated NAIC Type choices for 2011 Year End.
- Updated NAIC Designation Choices for 2011 Year End.
- Added data validation on price field.
- Added logic to select the Pay schedule based on maturity date and pay mode.
- Added Logic to default NAIC Type list to previously selected value.
- Added Check box to indicate if the security is a CD under the FDIC limit.
- Changed TIPS/IBOND field to a check box

#### MBS screen

- Updated NAIC Type choices for 2011 Year End.
- Updated NAIC Designation Choices for 2011 Year End.
- Added Logic to default NAIC Type list to previously selected value

#### CMO screen

- Updated NAIC Type choices for 2011 Year End.
- Updated NAIC Designation Choices for 2011 Year End.
- Added data validation on price field.
- Added Logic to default NAIC Type list to previously selected value

#### SHORT TERM screen

- Updated NAIC Type choices for 2011 Year End.
- Updated NAIC Designation Choices for 2011 Year End.
- Added data validation on price field.
- Added logic to select the Pay schedule based on maturity date and pay mode.
- Added Logic to default NAIC Type list to previously selected value

#### STOCK screen

- Updated NAIC Type choices for 2011 Year End.
- The screen will now hide fields that do not pertain to Common Stock
- Added data validation on price field.
- Added Logic to default NAIC Type list to previously selected value

#### MARKET screen

- Corrected the error which occurred after exporting data, as Windows explorer was opened.
- Removed the ability to delete records from the Market screen

#### INCOME screen

- Added a new warning which indicates Un-Posted acquisitions will not be available for income.
- Form now excludes securities that have been added to the system, but have not yet been posted.
- Removed the ability to delete records from the Income screen.
- Multi-Lot functions will now ignore tax lots that have a trade date greater than the income date.

#### DISPOSAL screen

- Added a new warning which indicates Un-Posted acquisitions will not be available for disposal.
- Form now excludes securities that have been added to the system, but have not yet been posted.
- Removed Maturity button when processing a disposal for a Common or Preferred Stock.

#### Multi-Lot DISPOSAL screen

- You can now process a Capital Gain by entering a disposal for zero shares with a positive consideration.
- Corrected the Date validation bug which incorrectly reported date errors.
- Added a Full-Disposal option

#### REPORTS

##### ACTIVE SHORT TERM (Working Schedule DA Part 1)

- Report will now print in a CUSIP Summary fashion if CUSIP level has been set on the utility screen.

##### EXPIRED CALL DATE Report

- Report name changed to "Expired Dates List.
- Report now lists call dates that are expiring as well as Last Principle Pay dates that are near.
- Report now shows PAR and Reason each CUSIP is listed.

##### Structured Securities held per NAIC Report

- Report has been updated to use the 1/5/2012 NAIC Lists

#### MISCELLANEOUS

##### Schedule D Classification Types

- The available choices for the NAIC Schedule D classification types have been changed to reflect the changes in description and line numbers for RMSB, CMBS and ABS type securities. Your data will be updated to reflect these changes when you install this version. See the "2011 Classification Changes" report on the Miscellaneous tab of the report menu for a listing of affected securities.

##### Collateral Type

- The choices for available collateral types have been changed. Your data will be updated when you install this version. See the "2011 Classification Changes" report on the Miscellaneous tab of the report menu for a listing of affected securities.

##### System Preferences

- Added the ability to set a global default for the Book Value As field for Bonds, CMO's, MBS's and Short Term securities.

## Version 2011.17

**Release Date: 10/18/2011**

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**Re-Build of 2011.16** which corrects a problem in the installer program, which in turn may cause an error on the Multi-Lot disposal screen

#### FORMS

##### Utility

- Added a System Preference that will allow you to choose whether the "as-of" date on your reports displays the last day of the previous accounting month or the last day of the new accounting month

## Version 2011.16

**Release Date: 10/13/2011**

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#### FORMS

##### Disposal Screen

- Added the ability to create **Multi-Lot disposals**. *See Manual for details*

- Added the ability to search on the Security Description.
- Added the ability to Delete un-posted records from the disposal screen. See Manual.
- Added Transaction numbers to disposals
- You can now process "**Return of Capital**" transactions on the disposal screen.

#### Utility Screen

- Modified the TAB order of the screen to eliminate the creation of a blank record.

### MAIN MENU - Programs

#### Full Amortization

- Added transaction numbers to amortization process

#### Accrual

- Added transaction numbers to amortization process

#### CUSIP Change

- Corrected error that prevented Menu from displaying after cancel button was clicked.

## Version 2011.14

**Release Date: 6/17/2011**

### REPORTS

#### Print Menu

- The menu has been completely re-organized. Reports are now organized into functional groups.
- Working Schedule D choices are on the print Menu
- Schedule D by GL reports can now be selected individually
- IMR/AVR reports can now be selected individually
- Schedule D and DA verification reports can be selected individually. The can also be viewed on the screen or sent to excel.
- Cash Equivalent Disposed/Acquired/Active reports can be selected individually
- Part 1A – Maturity and Quality/Maturity Distribution reports can be selected individually
- You may now select which securities to print on the security Detail report
- Amortization by Year and by Month are now available on the Print Menu

#### Print Menu – Preview Reports

- When you preview a report on the screen, you will now have access to the scroll bars.

#### Bonds

- Corrected misspelling on Page 1

#### Schedule D Quarterly Export

- FSI Users only – Reverted the export file name to be QSchD.TXT

## Version 2011.13

**Release Date: 5/24/2011**

Internal release only – Beta of 2011.14

## Version 2011.12

**Release Date: 5/4/2011**

### FORMS

#### Main Menu – Full Amortization

- Running amortization may cause an error on a random CUSIP. Error is related to an invalid calculation when calculating the Next Coupon date. This error will cause amortization to fail on the stated CUSIP.

#### Main Menu – Accrual

- Running Accrual may cause an error on cusip ZZZZZZ-ZZ-2. Error is related to an invalid date calculation. This error will have no impact on the accrual process.

## REPORTS

### Unrealized Gain/Loss report

- Report is cutting off data
- Report orientation is incorrect and cannot be adjusted.

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## Version 2011.11

Release Date: 4/22/2011

## FORMS

### Main Menu – Full Amortization

- Full Amortization has been modified in respect to CMO and MBS Securities. When/If a CMO or MBS Security has been written down and the AMORTIZE flag has been set to **N**. Then the system ceased to calculate the WAL (Average Life Date) of the security. This process was incorrect. The amortization routine has been changed to allow the calculation of the WAL even though the security will not amortize.

### Market Price Import

- Market Price – Corrected an issue that prevented records from loading when the import record has a NAIC Designation, but no price.
- AVS Import – Corrected an issue that caused the designations on Preferred Stocks which were filing exempt to be improperly updated. See manual regarding Market Price Import errors. This corrects the KNOWN issue for Version 2011.10

### Disposal

- Some users have reported an error related to "tran\_num" which prevents them from completing a disposal. The issue has been resolved.

### Schedule D Quarterly Export

- Changed the error message displayed, to be more descriptive, when the export directory that is specified in the utility screen does not exist.
- Changed the export file name to be more descriptive. New file names will be Qn\_yyyySchD.txt. Where
  - n = the Quarter number
  - yyyy = the Year.
  - i.e. Q1\_2011SchD.txt would be the name of the file for the first quarter of 2011.

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## Version 2011.10

Release Date: 4/7/2011

## KNOWN ISSUES

### Market Price Import

- Importing an AVS file which contains Preferred Stock CUSIPS, will cause an invalid designation to be posted to the Preferred Stock. This issue is pending response from the NAIC.

## FORMS

### Market Price Import

- Market Price – Corrected an issue that prevented records from loading when the import record has a NAIC Designation, but no price.

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## Version 2011.09

Release Date: 4/7/2011

## FORMS

### Main Menu

- Modified main menu to check Database Engine settings for Four-Digit Year flag. User will see a nag message if it is set to false.
- Modified main menu FILE; Interactive code to eliminate error caused by having the print menu and a report open when Interactive is selected.

- When opening Market, Income, Disposal, and Print screens from menu, the program will now determine if the form is already open, and open that instance, rather than opening an additional instance of the form.

#### **Main Menu – Security Pick List**

- Added new report to allow Amortization Report to be run with monthly intervals.
- Modified list to allow user to open a security by double-clicking the security.

#### **Main Menu – Utility CUSIP Change**

- Modified CUSIP change process by closing Menu first, thereby unlocking the records before change is attempted.

#### **Disposal screen**

- Modified the ability to delete records from the Disposal Transaction list. Transactions can be deleted only if they have not been created on page 3 of the applicable security. If the page 3 records have been created you can delete the disposal transaction by re-setting the security to the previous month.
- Disposal transactions are assigned a unique transaction number. This number will flow through to the ptrans records, which will help determine which ptrans records make up an entire disposal.
- Corrected the issue that sometimes caused a amortization record with a value of -0 to be created, when the correct value should be zero.

#### **BOND, Stock, Short Term MBS CMO screens**

- Modified code that allows user to change security type to force the form closed after the change has been verified and the new form opens.
- Updated NAIC Designation tables so that the choices are properly segregated in regard to the kind of security that you are adding.

#### **Stock**

- Stock Split option fails to split multiple lots, corrected screen filter which was blocking change to additional lots.

#### **Market Price Import**

- Market Price Import now allows the import of multiple file types. Valid Import file types are:
  - Tab Delimited
  - NAIC AVS Format
  - Sungard EPS format
- Eliminated bug in price import screen that caused the import to fail if the prices included a dollar sign (\$)

#### **Month End Process**

- Modified Month End process to report securities that have Call dates that will expire before the end of the new month..

#### **Quarterly Export**

- Modified NAIC Line numbers for US Government securities.
- Update quarterly export to meet 2011 Quarterly specifications.

### **REPORTS**

#### **Interest Earned Report**

- Report can now be grouped by CUSIP
- User selections are saved to make re-running the report easier.

#### **Investment Income Report**

- Report can now be grouped by CUSIP
- User selections are saved to make re-running the report easier.

#### **Due and Accrued Report**

- Report can now be grouped by CUSIP
- User selections are saved to make re-running the report easier.

### **NEW REPORTS**

#### **Expired Call List**

- This report displays active securities which are being amortized to the call date and the call has or will soon expire. This data is generated during month end, therefore it will not have data available until your first month end has been completed using this version of PortMan.

## MISCELLANEOUS

### SCRIPTS

- Scripts are now compiled

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## Version 2011.08

**Release Date: 3/23/2011**

Internal release only – Beta of 2011.09

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## Version 2011.07

**Release Date: 3/10/2011**

Internal release only – Beta of 2011.08

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## Version 2011.06

**Release Date: 1/20/2011**

### REPORTS

#### Structured Securities Held per NAIC List

Modified logic for displaying the Fair Value Flag. Flag will now display when MARKET Value = Book Value (+-.05) and Market Value < Amor Value.

#### Structured Securities Held per Selected NAIC Type

Added a column to display whether a security is contained in the NAIC's RMBS and CMBS list.

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## Version 2011.05

**Release Date: 1/14/2011**

### FORMS

#### MBS

- PSA – Created restriction for maximum PSA Values. Max value is 1650
- PSA – When changing the PSA, Corrected an error that caused new PSA values to calculate using the OLD PSA value
- PSA – Corrected an issue that affects V2011.01-2011.04, PSA Changes on existing securities were incorrectly re-calculating cash flows

#### CMO

- PSA – Created restriction for maximum PSA Values. Max value is 1650
- PSA – When changing the PSA, corrected an error that caused new PSA values to calculate using the OLD PSA value

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## Version 2011.04

**Release Date: 1/12/2011**

### REPORTS

#### Structured Securities Held per NAIC Type

Added Amortized Price, Market price, Book Value, Amortized Value, Market value, Fair Market Flag to report.

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## Version 2011.03

**Release Date: 1/11/2011**

### FORMS

#### MARKET

- Added RMBS Desig field to table frame. This will allow user to enter all RMBS Designations from a single screen

#### CMO

- Page 2 Button Error - While on Page 3, pressing the Page 2 button fails to display Page 2. corrected

#### MBS

- Page 2 Button Error - While on Page 3, pressing the Page 2 button fails to display Page 2. Corrected

## Exports

### Schedule D Part 1 - Export

RMBS Designation will be exported, in lieu of the NAIC Designation, on securities whose NAIC Type is 33, 34, 35, 36, or 37 when the RMBS Designation has been entered.

## REPORTS

### Structured Securities Held per NAIC Type

Corrected issue which caused this report to fail to print when company uses Master Install process.

## RMBS/CMBS Issues

### Structured Security List

Updated the Structured Security list to the 1/7/2011 list published by the NAIC

## Version 2011.02

Release Date: 01/06/2011

## REPORTS

### Structured Securities Held by NAIC Type

- This report displays active securities in your portfolio that have been defined as NAIC Types 33, 34, 35, 36, and 37. These securities require collateral type information on the Schedule D annual filing.

### Structured Securities Held per NAIC Type

- This report displays active securities that have been identified by the NAIC as structured securities and are listed in the 2010 RMBS/CMBS Modeled Securities Listings as of 11/23/2010..

## MISCELLANEOUS

### System Startup

- The system was mis-reporting the users actual screen resolution, which meant that some users might get a "Screen Resolution too Small" error.
- Users with Master Install Enabled, may have encountered an error while exiting the disposal screen if they updated to 2011.01 and went directly into the disposal area. This occurred because the Data structure changes did not occur on system startup. This error is self-correcting by simply exiting Portman and re-opening. Issue corrected.

**\* NOTICE \* NOTICE \* NOTICE \* NOTICE \* NOTICE \* NOTICE \* NOTICE \* NOTICE \* NOTICE \* NOTICE \***

**Version 2011** incorporates a system wide screen resolution change. While this will not affect the vast majority of users it is necessary. Increasing the screen resolution allows us to fit more items on the screen, which will result in smoother navigation for you.

Users should use a **minimum screen resolution of 1024 x 768**, higher resolutions are acceptable

Should you need to change your screen resolution you can follow the steps for your operating system below

| Operating System | Instructions   |
|------------------|--|
| Windows 7        | <a href="http://windows.microsoft.com/en-us/windows7/Change-your-screen-resolution">http://windows.microsoft.com/en-us/windows7/Change-your-screen-resolution</a>  |
| Vista            | <a href="http://windows.microsoft.com/en-US/windows-vista/Change-screen-resolution">http://windows.microsoft.com/en-US/windows-vista/Change-screen-resolution</a><br>or<br><a href="http://www.youtube.com/watch?v=Gg0FhqCmu_U">http://www.youtube.com/watch?v=Gg0FhqCmu_U</a> |
| Windows XP       | <a href="http://www.microsoft.com/resources/documentation/windows/xp/all/proddocs/en-us/display_change_screen_resolution.mspx?mfr=true">http://www.microsoft.com/resources/documentation/windows/xp/all/proddocs/en-us/display_change_screen_resolution.mspx?mfr=true</a>      |

If you need to adjust your resolution and cannot follow these instruction, please call ABS Support at 502-209-6034

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## Version 2011.01

Release Date: 01/05/2011

### REPORTS

#### Schedule D by GL Part2 Section 1

- Corrected shares column to display proper value

#### Schedule D by GL Part2 Section 2

- Corrected shares column to display proper value

#### Unrealized Gain/Loss

- Added the ability to run report at CUSIP level
- Added filter option to include/Exclude Short Term and Cash Equivalent securities

#### Amortization Schedule

- Corrected error which caused final price to return 10,000, under specific conditions.
- Corrected error which allowed report to display prices, after the call date on callable securities.

#### Disposed Stock

- Excel output modified – Output contained Disposed shares and Current shares. Removed current shares to eliminate confusion.

### NEW REPORTS

#### Interest Earned Report

- A report displaying the interest earned on securities from the beginning of the year until the date the report was run. The report can be grouped by NAIC Type, Tax Status, or GL Definition. Fully disposed securities can be excluded.

#### Investment Income Report

- A report displaying Investment Income for securities from the beginning of the year until the date the report was run. The report can be grouped by NAIC Type, Tax Status, or GL Definition. Fully disposed securities can be excluded.

#### Due and Accrued Report

- A report displaying Due and Accrued for securities from the beginning of the year until the date the report was run. The report can be grouped by NAIC Type, Tax Status, or Payable Mode. You can include or exclude fully disposed securities.

#### RMBS Securities List

- This report displays a list of securities which fall under the RMBS rating guidelines. Use it to list your RMBS securities as well as to verify your collateral type assignments.

### FORMS

#### BOND Form

- Changed the IBOND field to radio buttons which will allow future selection of TIPS, I-BOND, or Neither
- Amortize field moved to Page 1
- Changed validation on TYPE field to update description when user types in a new value.
- Improved navigation between pages
- Collateral Type options updated to 2010 Year end requirements.
- Disabled the ability to move between pages when entering a new security.
- Page 3 - Selected records are now Hi-lighted.

#### MBS Form

- Corrected "Invalid Date Specification" error which could occur when entering the PSA.
- Changed validation on TYPE field to update description when user types in a new value.
- Amortize field moved to Page 1
- Navigation between pages has been disabled when entering a NEW security.
- Collateral Type options updated to 2010 Year end requirements.
- Improved navigation between pages
- Disabled the ability to move between pages when entering a new security.
- Page 3 - Selected records are now Hi-lighted.
- Cash Flow - Selected records are now Hi-lighted.

### **CMO Form**

- Corrected "Invalid Date Specification" error which could occur when entering the PSA.
- Changed validation on TYPE field to update description when user types in a new value.
- Amortize field moved to Page 1
- Collateral Type options updated to 2010 Year end requirements.
- Improved navigation between pages
- Disabled the ability to move between pages when entering a new security.
- Page 3 - Selected records are now Hi-lighted.
- Cash Flow - Selected records are now Hi-lighted.

### **SHORT TERM Form**

- Changed validation on TYPE field to update description when user types in a new value.
- Improved navigation between pages
- Amortize field moved to Page 1
- Disabled the ability to move between pages when entering a new security.
- Page 3 - Selected records are now Hi-lighted.

### **STOCK Form**

- Changed validation on TYPE field to update description when user types in a new value.
- Improved navigation between pages
- Disabled the ability to move between pages when entering a new security.
- Page 3 - Selected records are now Hi-lighted.

### **INCOME Form**

- Corrected calculation error which caused a security to show on the reconciliation report.
- Modified process of posting income to provide better feedback while creating the transaction records.
- Changed validation to eliminate the ability to enter principal on Stocks, Disposed securities or Securities with zero PAR.
- Changed validation to eliminate the ability to enter Interest or Principal on securities where accrual has already been run.
- Selected records are now Hi-lighted.

### **DISPOSAL Form**

- Selected records are now Hi-lighted.

### **MARKET Pricing**

- Price import procedure changed to fix intermittent error which prevented prices from loaded to securities.
- Selected records are now Hi-lighted.

### **MAIN Menu**

- Help, Support Session Menu has changed to accommodate our new web meeting software.
- Corrected misspelling on menu.

### **PRINT Menu**

- Corrected misspelling on verification report description.

### **Backup**

- Corrected a misspelling
- Changed process for receiving files from support to give feedback when the process is complete.

## **PROGRAMS**

### **Month End**

- Round calculated Annual interest to 2 decimal places
- Round calculated Due and accrued to 2 decimal places
- Month-End will not process December until you have run the Year-End program.

### **Year End**

- After you run The Year end process, it will be disabled. This will prevent accidental running of the Year end for a second time.

## **MISCELLANEOUS**

### **System Startup**

- System no longer produces messages when Master Updating is disabled
- The program will warn you if your screen resolution is not sufficiently large for the screens to display properly